

TIER 3 PERSONAL FINANCIAL DISCLOSURE STATEMENT (FOR CANDIDATES)

Pursuant to *La. R.S. 18:1495.7*, any person who becomes a candidate for an office for which the holder of the office is required to file financial disclosure statements pursuant to R.S. 42:1124, 1124.2, or 1124.3 shall file a financial disclosure statement as required by R.S. 42:1124, 1124.2, or 1124.3 for the office for which he is a candidate.

GENERAL INFORMATION

- ❖ You are required to file a Tier 3 Personal Financial Disclosure Statement (for candidates) if you are a candidate seeking election to a public office that represents a voting district having a population of fewer than *5,000*.
- ❖ If you are seeking re-election and have previously filed an annual financial disclosure statement timely with the Board of Ethics (in the same calendar year), such filing shall satisfy the requirements of this Section.
- ❖ If you are a candidate that holds another position/office that requires you to file a financial disclosure statement, you are required to file a financial disclosure statement at the highest tier level. Tier levels (highest to lowest): Tier 1, Tier 2, Tier 2.1, Tier 3.
- ❖ La. R.S. 18:1495.7 does not provide you the opportunity to request an extension in filing your personal financial disclosure statement.
- ❖ If additional copies of the form are needed, copies are available on the agency's website (www.ethics.state.la.us)
- ❖ The financial disclosure statement must be filed with the Board of Ethics **within 10 days of filing your *Notice of Candidacy***.
- ❖ For additional information, visit the *Disclosure—Frequently Asked Questions* section of our website, or view the information sheets provided under *General Information—Publications*.
- ❖ Acceptable methods for filing a personal financial disclosure statement:
 - Fax: 225/381-7271
 - Mail: Board of Ethics, Post Office Box 4368, Baton Rouge, Louisiana 70821
 - Hand-deliver: 617 North 3rd Street, Suite 1036, Baton Rouge, Louisiana

INSTRUCTIONS

Cover Sheet

- You are required to disclose financial information related to the **previous calendar year**.
- You are required to disclose whether you have filed your federal and state income tax returns for the previous year, or requested an extension in filing your returns.
- You are required to sign the cover sheet certifying that the information provided is true and correct to the best of your knowledge and belief.
- **“Public Office” means** any state, parish, municipal, ward, district, or other office or position that is filled by election of the voters.
- **“Public Office” does not mean** office of the president or vice president of the United States, presidential elector, delegate to a political party convention, United States senator, United States congressman, or political party office.

Schedule A: Income from the State, Political Subdivisions, and/or Gaming Interests

- You are required to complete Schedule A if you or your spouse received income (which exceeded \$250 from each source) from the State, any political subdivision, and/or a gaming interest, **OR** if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income (which exceeded \$250 from each source) from the State, a political subdivision of the State, and/or a gaming interest.
- Income received must be reported as an exact dollar figure.
- **“Business” means** any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.
- **“Income” (for a business) means** gross income less costs of goods sold, and operating expenses.
- **“Income” (for an individual) means** taxable income and shall not include any income received pursuant to a life insurance policy.
- **“Political Subdivision” means** a parish, municipality, or any other unit of local government, including a school board or a special district authorized by law to perform governmental functions, e.g., hospital service districts, school boards (and schools under its authority), police juries, parish councils, boards of aldermen, cities, towns, villages, etc.
- **“Gaming Interest” means** [as defined in La. R.S. 18:1505.2L(3)(a)] (i) Any person who holds a license or permit as a distributor of gaming devices, who holds a license or permit as a manufacturer of gaming devices, who holds a license or permit as a device service entity, and any person who owns a truck stop or a licensed pari-mutuel or off-track wagering facility which is a licensed device establishment, all pursuant to the Video Draw Poker Devices Control Law; (ii) Any person who holds a license to conduct gaming activities on a riverboat, who holds a license or permit as a distributor or supplier of gaming devices or gaming equipment including slot machines, or who holds a license or permit as a manufacturer of gaming devices or gaming equipment including slot machines issued pursuant to the Louisiana Riverboat Economic Development and Gaming Control Act, and any person who owns a riverboat upon which gaming activities are licensed to be conducted; or (iii) Any person who holds a license or entered into a contract for the conduct of casino gaming operations, who holds a license or permit as a distributor of gaming devices or gaming equipment including slot machines, or who holds a license or permit as a manufacturer of gaming devices or gaming equipment including slot machines issued pursuant to the Louisiana Economic Development and Gaming Corporation Act, and any person who owns a casino where such gaming operations are licensed.

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This Report Covers Calendar Year: _____

ORIGINAL REPORT

AMENDED REPORT

Office Sought _____

Incumbent: Yes No

Date of Election _____

Date Qualified _____

Name (print full name) _____

Address _____

City, State, Zip _____

Check all that apply:

I have filed my state income tax return for the previous year.

I have filed for an extension of my state income tax return for the previous year.

I have filed my federal income tax return for the previous year.

I have filed for an extension of my federal income tax return for the previous year.

NOTE: La. R.S. 18:1495.7 and 42:1124.3 does not provide candidates the opportunity to request an extension in filing their personal financial disclosure statements.

Certificate of Accuracy

I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge and belief.

Signature of Filer

LOUISIANA BOARD OF ETHICS

Post Office Box 4368
Baton Rouge, Louisiana 70821

Schedule A: Income From the State, Political Subdivisions, and/or Gaming Interests
(income which exceeded \$250 from each source)

Filer Spouse Business (name of business) _____

Type of Income: State Political Subdivision Gaming Interest

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): \$ _____

Filer Spouse Business (name of business) _____

Type of Income: State Political Subdivision Gaming Interest

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): \$ _____

Filer Spouse Business (name of business) _____

Type of Income: State Political Subdivision Gaming Interest

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): \$ _____

Filer Spouse Business (name of business) _____

Type of Income: State Political Subdivision Gaming Interest

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): \$ _____

* You are required to complete SCHEDULE A if you or your spouse received income from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.

* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

*The definition for (and examples of) political subdivision, gaming interest, and business are found in the *Instructions Section* of this form.